

(Print or Type Responses)

1. Name and Address of Reporting Person\*

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL                                    |           |  |  |  |
|---|-----------|--|--|--|
| OMB Number:                                     | 3235-0104 |  |  |  |
| Estimated average burden nours per response 0.5 |           |  |  |  |
| nours per response                              |           |  |  |  |

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

3. Issuer Name and Ticker or Trading Symbol

2. Date of Event Requiring

|   |   |  | Hannon Armstrong Sustainable Infrastructure Capital, Inc. [HAS1]  |   |                                      |   |  |  |
|---|---|--|---|---|--------------------------------------|---|--|--|
| — 04/03/2022  |   | _  | 4. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  |   | Filed(Mon                            | endment, Date Original<br>th/Day/Year)  |  |  |
|   |   |  | X Officer (give title below) Other (specify below)  EVP and Chief Risk Officer  |   | 6. Individed Applicable 1 X_ Form fi | 6. Individual or Joint/Group Filing(Check Applicable Line)  X. Form filed by One Reporting Person  Form filed by More than One Reporting Person   |  |  |
|   | Table I - Non-Derivative Securities Beneficially Owned  |  |   |   |                                      |   |  |  |
|   |   |  | neficially Owned F str. 4)  |   |                                      | ature of Indirect Beneficial Ownership<br>tr. 5)  |  |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, ontions, convertible securities) |   |  |   |   |                                      |   |  |  |
| and Expirati  | d Expiration Date Securities Un   |  |   | Price of<br>Derivative  |                                      | 6. Nature of Indirect Beneficial<br>Ownership<br>(Instr. 5)   |  |  |
| Date<br>Exercisable   |   | Title  | Amount or<br>Number of<br>Shares  | · ·   | (D) or Indirect<br>(I)<br>(Instr. 5) |   |  |  |
| (1)(2)  | (3)   | 1  |   | \$ (1) (2)  | I                                    | By HASI Management<br>HoldCo LLC (4)  |  |  |
|   | as of securities ond to the cosplays a curive Securities 2. Date Exercisable Date Exercisable | as of securities beneficial cond to the collection splays a currently value of the exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date Exercisable Date | Table I - No  2. Amount of Securities Beneficially Owned (Instr. 4)  2. Amount of Securities Beneficially Owned (Instr. 4)  2. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Date (Month/Day/Year)  Common stock, par value \$0.01 | Table I - Non-Derivati  2. Amount of Securities Beneficially Owned (Instr. 4)  2. Amount of Securities Beneficially Owned (Instr. 4)  2. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Date  (Instr. 4)  2. Common stock, par value \$0.01  3. Title and Amount of Securities Security (Instr. 4) | Check all applicable                 | 4. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  Director  X_Officer (give title below)  EVP and Chief Risk Officer  EVP and Chief Risk Officer  Table I - Non-Derivative Securities Beneficially Officer (Instr. 4)  2. Amount of Securities Beneficially Owned (Instr. 4)  2. Amount of Securities Beneficially Owned (Instr. 5)  3. Ownership Form: Direct (I) (Instr. 5)  4. Nature of Indirect (I) (Instr. 5)  so of securities beneficially owned directly or indirectly.  Tond to the collection of information contained in this form are not required to resplays a currently valid OMB control number.  Tive Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities and Expiration Date (Month/Day/Year)  Date Exercisable Securities Underlying Derivative Security (Instr. 4)  Common stock, par value \$0.01  Amount or Number of Shares  Common stock, par value \$0.01  36,047  \$\frac{(11)(2)}{(11)(2)} \frac{(3)}{(2)} \frac{1}{(11)(2)} \f |  |  |

## **Reporting Owners**

|   | Relationships |              |                            |       |
|---|---------------|--------------|----------------------------|-------|
| Reporting Owner Name / Address  |               | 10%<br>Owner | Officer                    | Other |
| Santoroski Richard<br>1906 TOWNE CENTRE BLVD., STE 370<br>ANNAPOLIS, MD 21401 |               |              | EVP and Chief Risk Officer |       |

## **Signatures**

| /s/ Richard Santoroski          | 04/15/2022 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 36,047 units of limited partner interest ("OP Units") in Hannon Armstrong Sustainable Infrastructure, LP (the "Partnership") are issuable upon the vesting and conversion of (1) 36,047 long-term incentive plan units ("LTIP Units") in the Partnership. The LTIP Units were granted to the Reporting Person under the Issuer's 2013 Equity Incentive Plan, as amended.
  - Vested LTIP Units, after achieving parity with OP Units (as described in the Partnership's Amended and Restated Agreement of Limited Partnership (the "Partnership Agreement")), are eligible to be converted into OP Units on a one-for-one basis upon the satisfaction of conditions set forth in the Partnership Agreement. Upon conversion
- (2) of LTIP Units into OP Units, the Reporting Person will have the right to cause the Partnership to redeem a portion of the Reporting Person's OP Units for cash in an amount equal to the market value (as defined in the Partnership Agreement) of an equivalent number of shares of common stock, par value \$0.01 per share, of Hannon Armstrong Sustainable Infrastructure Capital, Inc. (the "Issuer"), or at the Issuer's option, shares of the Issuer's common stock on a one-for-one basis, subject to certain adjustments.

#### (3) N/A

These LTIP Units are held by HASI Management HoldCo LLC ("HoldCo LLC"). The Reporting Person is a member of HoldCo LLC. The LTIP Units reported represent only the number of LTIP Units in which the Reporting Person has a pecuniary interest in accordance with his proportionate interest in HoldCo LLC. The Reporting Person is voluntarily reporting his proportionate interest in HoldCo LLC's ownership of LTIP Units. The Reporting Person disclaims beneficial ownership other than to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

#### POWER OF ATTORNEY

FOR SEC FILINGS ON FORMS ID, 3, 4, 5 AND 144 IN RESPECT OF SECURITIES OF HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE CAPITAL, INC.

The undersigned hereby constitutes and appoints each of Jeffrey W. Eckel, Jeffrey A. Lipson, Steven L. Chuslo and Bobbie L. King, Jr. or any one of them acting alone, as the undersigned's true an

- (i) any Form ID to be filed with the Securities and Exchange Commission (the "SEC");
- (ii) any Initial Statement of Beneficial Ownership of Securities on Form 3 to be filed with the SEC;
- (iii) any Statement of Changes of Beneficial Ownership of Securities on Form 4 to be filed with the SEC;
- (iv) any Annual Statement of Beneficial Ownership of Securities on Form 5 to be filed with the SEC;
- (v) any Notice of Proposed Sale of Securities on Form 144 to be filed with the SEC; and
- (vi) any and all agreements, certificates, receipts, or other documents in connection therewith.

The undersigned hereby gives full power and authority to the attorney-in-fact to seek and obtain as the undersigned's representative and on the undersigned's behalf, information on transactions in The undersigned hereby grants unto such attorney-in-fact and agent full power and authority to do and perform each and every act and thing requisite and necessary in connection with such matters

- (i) neither the Company nor such attorney-in-fact assumes (i) any liability for the undersigned's responsibility to comply with the requirement of the Securities Exchange Act of 1934, as amended
- (ii) this Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned's obligations under the Exchange Act, including without limitation the reporti
- /s/ Richard Santoroski